

APPENDIX D

Audit Plan Quarters 1 & 2 - Progress at 31 August 2011

Activity	Progress
Performance & Governance	
Corporate Governance	Scope agreed
Libraries	Fieldwork in progress
Learning & Development	Postponed pending the completion of on-going workforce changes and agreement of the Quarter 3&4 audit plan
Heritage Service	Fieldwork in progress
Information Management	Fieldwork in progress
Corporate Partnerships	Postponed pending the completion of on-going workforce changes and agreement of the Quarter 3&4 audit plan
Children's Services	
Residence Orders & Regulation 38 Payments	Fieldwork in progress
Funding for 2 Year Olds	Complete – Full assurance
Commissioning of Services below £75k	Postponed pending the completion of on-going workforce changes and agreement of the Quarter 3&4 audit plan
Adult Services	
Transformation	Draft report
Shaping Care 21 st Century	Fieldwork in progress
Community Support Service Project	A number of these audits were commenced but due to ongoing operational and structural changes within Adult Services it was decided that these audits should be undertaken when there is more certainty of future structures and processes. We are currently keeping a watching brief.
Adults Finance System	
Contracting	
Finance & Benefits	
Direct Payments	
Individual Service Funds	
Resource Allocation System	
Referral & Assessment	
Support Planning	
Personal Budgets	
Reviews	
Development	
Economic Regeneration	Fieldwork in progress
Divisional Highways Service	Fieldwork in progress
Highways Environmental Maintenance	Draft report
Household Waste Recycling Centres	Draft report
Environmental Management	Postponed pending the completion of on-going workforce changes and agreement of the Quarter 3&4 audit plan

